



FILE COPY Receipt #3 11-16-00

P/2171-AK

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent application of:

Masaki KATAYAMA et al.

Date: October 18, 2000

Serial No.: 09/593,866

Group Art Unit: 2747

Filed: June 14, 2000

Examiner: --

For: AUDIO SYSTEM CONDUCTING DIGITAL SIGNAL PROCESSING, A CONTROL METHOD THEREOF, A RECORDING MEDIA ON WHICH THE CONTROL METHOD IS RECORDED

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

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NOV 15 2000
TC 2700 MAIL ROOM

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Please issue a corrected filing receipt for the above application. The original filing receipt includes the following error:

The title is incorrect. Please change the title to read: --AUDIO SYSTEM CONDUCTING DIGITAL SIGNAL PROCESSING, A CONTROL METHOD THEREOF, A RECORDING MEDIA ON WHICH THE CONTROL METHOD IS RECORDED--.

A red-lined copy of the filing receipt reflecting the error is enclosed. The correct title is shown on the attached copy of the Declaration and Power of Attorney which was submitted to the U.S. Patent and Trademark Office on June 14, 2000.

This error occurred as a result of the Patent Office and, thus, no fee is enclosed.

In the event the actual fee is greater than the payment submitted or is inadvertently not enclosed, or if any additional fee during the prosecution of this case is not paid, the Patent Office is authorized to charge the underpayment to Deposit Account No. 15-0700.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on October 18, 2000:

Steven I. Weisburd
Name of applicant, assignee or
Registered Representative

Signature
October 18, 2000

Date of Signature

Respectfully submitted,

Steven I. Weisburd
Registration No.: 27,409
OSTROLENK, FABER, GERB & SOFFEN, LLP
1180 Avenue of the Americas
New York, NY 10036-8403
Telephone No.: (212) 382-0700

SIW:lac

00476125.1



UNITED STATES PATENT AND TRADEMARK OFFICE

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 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/593,866	06/14/2000	2747	690	P/2171-184	13	19	3

Ostrolenk Faber Gerb & Soffen
 1180 Avenue Of The Americas
 New York, NY 10036-8403



FILING RECEIPT



"OC000000005388662"

Date Mailed: 09/11/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Masaki Katayama, Hamamatsu-shi, JAPAN;
 Yasuhiro Fujimura, Hamamatsu-shi, JAPAN;
 Tetsuya Matsuyama, Hamamatsu-shi, JAPAN;

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Continuing Data as Claimed by Applicant

Foreign Applications

JAPAN HEI 11-169000 06/15/1999

If Required, Foreign Filing License Granted 09/09/2000

Title

Signal
 Audio system conducting digital processing, a control method thereof, a recording media on which the control method is recorded

Preliminary Class

700

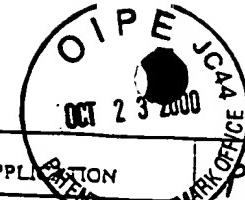
Data entry by : HARRISON, BRUCE

Team : OIPE

Date: 09/11/2000



COPY



OFGS FILE NO.

2171-184

UNITED STATES OF AMERICA
COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that: my residence, post office address and name of corporation, if any, in which I am employed, is stated below next to my name; that I verify believe that I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

AN AUDIO SYSTEM CONDUCTING DIGITAL SIGNAL PROCESSING, A CONTROL METHOD THEREOF, A RECORDING MEDIA ON WHICH THE CONTROL METHOD IS RECORDED

the specification of which is attached hereto, unless the following box is checked:

was filed on _____ as United States patent Application Number or PCT International patent Number _____ (if any).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information known to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim priority benefits under Title 35, United States Code §119 of any foreign application(s) for patent or inventor's certificate or United States provisional application(s) listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign or Provisional Application(s)

COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. 119
Japan	Hei 11-169000	15/06/99	YES <input checked="" type="checkbox"/> NO _____
			YES _____ NO _____
			YES _____ NO _____

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

UNITED STATES APPLICATION NUMBER	DATE OF FILING (day, month, year)	STATUS (patented, pending, abandoned)

I hereby appoint OSTROLENK, FABER, GERB & SOFFEN, and the members of the firm, Marvin C. Soffen - Reg. No. 17,542; Samuel H. Weiner - Reg. No. 18,510; Jerome M. Berliner - Reg. No. 18,653; Robert C. Faber - Reg. No. 24,322; Edward A. Meilman - Reg. No. 24,735; Stanley H. Lieberstein - Reg. No. 22,400; Steven I. Weisburd - Reg. No. 27,409; Max Moskowitz - Reg. No. 30,576; Stephen A. Soffen - Reg. No. 31,063; James A. Finder - Reg. No. 30,173; William O. Gray, III - Reg. No. 30,944 and Louis C. Dujmich - Reg. No. 30,625, as attorneys with full power of substitution and revocation to prosecute this application, to transact all business in the Patent & Trademark Office connected therewith and to receive all correspondence.

SEND CORRESPONDENCE TO: OSTROLENK, FABER, GERB & SOFFEN
1180 AVENUE OF THE AMERICAS
NEW YORK, NEW YORK 10036-8403 DIRECT TELEPHONE CALLS TO:
(212) 382-0700

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF SOLE OR FIRST INVENTOR MASAKI KATAYAMA	INVENTOR'S SIGNATURE <i>Masaki Katayama</i>	DATE <i>2000.5.22</i>
RESIDENCE Hamamatsu-shi, Shizuoka, Japan POST OFFICE ADDRESS c/o YAMAHA CORPORATION 10-1, Nakazawa-cho, Hamamatsu-shi, Shizuoka, Japan	COUNTRY OF CITIZENSHIP <i>Japan</i>	
FULL NAME OF SECOND JOINT INVENTOR (IF ANY) YASUHIRO FUJIMURA	INVENTOR'S SIGNATURE <i>Yasuhiko Fujimura</i>	DATE <i>2000.5.22</i>
RESIDENCE Hamamatsu-shi, Shizuoka, Japan POST OFFICE ADDRESS c/o YAMAHA CORPORATION 10-1, Nakazawa-cho, Hamamatsu-shi, Shizuoka, Japan	COUNTRY OF CITIZENSHIP <i>Japan</i>	
FULL NAME OF THIRD JOINT INVENTOR (IF ANY) TETSUYA MATSUYAMA	INVENTOR'S SIGNATURE <i>Tetsuya Matsuyama</i>	DATE <i>2000.5.22</i>
RESIDENCE Hamamatsu-shi, Shizuoka, Japan POST OFFICE ADDRESS c/o YAMAHA CORPORATION 10-1, Nakazawa-cho, Hamamatsu-shi, Shizuoka, Japan	COUNTRY OF CITIZENSHIP <i>Japan</i>	

CONTINUED ON PAGE 2

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Bib Data Sheet

SERIAL NUMBER 09/593,866	FILING DATE 06/14/2000 RULE -	CLASS 700	GROUP ART UNIT <i>2747</i> <i>2643</i>	ATTORNEY DOCKET NO. P/2171-184
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APPLICANTS

Masaki Katayama, Hamamatsu-shi, JAPAN;
 Yasuhiro Fujimura, Hamamatsu-shi, JAPAN;
 Tetsuya Matsuyama, Hamamatsu-shi, JAPAN;

**** CONTINUING DATA *********** FOREIGN APPLICATIONS *******

JAPAN HEI 11-169000 06/15/1999

**IF REQUIRED, FOREIGN FILING LICENSE
GRANTED ** 09/09/2000**

Foreign Priority claimed	<input checked="" type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY JAPAN	SHEETS DRAWING 13	TOTAL CLAIMS 19	INDEPENDENT CLAIMS 3
35 USC 119 (a-d) conditions met	<input checked="" type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	L.S. Examiner's Signature Initials				

ADDRESS

Ostrolenk Faber Gerb & Soffen
 1180 Avenue Of The Americas
 New York ,NY 10036-8403

TITLE

Audio system conducting digital signal processing, a control method thereof, a recording media on which the control method is recorded

FILING FEE RECEIVED 690	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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